

7.1.6



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Standard	ISO9001:2015
Type of Audit	2 nd SURVEILLANCE AUDIT
Name of the Organisation	DEV SANSKRITI VISHWAVIDYALAYA
Address of the Organisation	GAYATRIKUNJ – SHANTIKUNJ, HARIDWAR – 249411, UTTARAKHAND, INDIA
Site Address, if any	NA
No. of Employees	NR
Contact Person	Mr. Jagdish Kulmi
Scope	PROVIDING EDUCATION TO UNDERGRADUATES, GRADUATES, POST-GRADUATES AND PROVIDING DOCTORATE DEGREES.
Exclusion	
NACE Code	
Any Other Information	No

W. Yadav



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Changes since Last Audit

Name of Organization	DEV SANSKRITI VISHWAVIDYALAYA
Changes in Scope	No
Changes in No. of Employees	No major changes
Changes in NACE Code	No
Changes in Shift	No
Changes in No. of Sites	No
Changes in Legal & Statutory Requirements	No
Status of the Previous audit finding	NC Closed with CAR plan.
Verification of auditor and recommendation to increase/decrease number of man-days	No

Audit Team	Lead Auditor	V. Yadav
	Auditor	V. Kamboj
	Technical Expert	P. Sharma
No of Mandays	03	
Date of Audit	20/12/23 – 23/12/23	
Audit Objective	Organization management system continues to fulfill the Requirements of the standard	

Signature

Signature



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Surveillance Audit Schedule

Time	Function/Area/department	ApplicableClauses	Team Leader	Team Member 1	Team Member 2	Technical Expert
9.00-9.30	Opening Meeting					
9.30-10.00	Office Visit	7.1.3,7.1.4	Y			
10.00-11.00	Understanding the Organization and its context, Need and Expectation of Interested Parties, Scope of QMS	4.4.5,4.5.4,4.5.5, 4.6,4.1,4.2,4.3, 4.4	Y	Y	Y	
11.00-12.30	Risk & Opportunities, Documented Information, M&M	6.1,7.5, 9.1	Y			
12.30-1.30	Quality Policy, Quality Objectives	5.2,6.2	Y	Y	Y	
	1.30-2.00 Working Lunch					
2.00-3.00	Internal Audit & MRM, Leadership & Commitment, Roles and Responsibilities	9.2,9.3,5.1, 5.3	Y			
3.00-4.00	Resources, Competence, Awareness, Communication	7.1,7.2,7.3, 7.4	Y	Y	Y	
4.00-5.00	Operation control	8.1,8.2,8.3,8.4,8.5, 8.6,8.7	Y	Y	Y	
5.00-6.00	Nonconformity and corrective, Continual Improvement	10.1,10.2,10.3	Y	Y	Y	

Smk
Vyasar



AQC Global LLC

SURVEILLANCE AUDIT REPORT

3 YEARS AUDIT PLAN MATRIX (AQC Reference only)

ISO 9001:2015		AUDIT											
		Initial Certification			1st Surveillance			2nd Surveillance			Re Certification		
		5days			2.5day			2.5day			2days		
		X	O	NC	X	O	NC	X	O	NC	X	O	NC
4.1	understanding the organization and its context	X			X			X			X		
4.2	Understanding the needs and expectations of interested parties	X			X			X			X		
4.3	Determining the scope of the quality management system	X			X			X			X		
4.4	Quality management system and its processes	X			X			X			X		
5.1.1	Leadership & Commitment (Statement of conformity)	X			X			X			X		
5.1.2	Customer focus (statement of conformity)	X			X			X			X		
5.2	Quality policy	X			X			X			X		
5.3	Organizational roles, responsibilities and authorities	X			X			X			X		
6.0	Planning	X			X			X			X		
6.1	Action to address risks and opportunities	X			X			X			X		
6.2	Quality objectives and planning to achieve them	X			X			X			X		
6.3	Planning of changes and Purpose, resource availability and allocation	X			X			X			X		
7.1	Resources	X			X			X			X		
7.2	Competence	X			X			X			X		
7.3	Awareness	N/A			N/A			N/A			N/A		
7.4	Communication	X			X			X			X		
7.5	Documented information	X			X			X			X		
8.1	Operational planning and control	X			X			X			X		
8.2.1	Customer communication	X			X			X			X		
8.2.2	Determining of Requirements for products and services	X			X			X			X		
8.2.3	Review of the requirements for products and services	X			X			X			X		
8.2.4	Changes to requirements for products and services	X			X			X			X		
8.3	Design and Development (D&D)	N/A			N/A			N/A			N/A		
8.4.1	Control of externally provided processes, products and services	X			X			X			X		
8.4.2	Type and extent of control	X			X			X			X		
8.4.3	Information for external providers	X			X			X			X		

AQC Global LLC
AQC-CA-Q-F20(Rev00)

Page No 4 of 8

Sharad
Vijay

SHARAD PARDHY
Vice Chancellor
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249411



AQC Global LLC

SURVEILLANCE AUDIT REPORT

8.5.1	Control	of	production	and	X			X			X			X		
-------	---------	----	------------	-----	---	--	--	---	--	--	---	--	--	---	--	--

Shardha
Vyasar
SHARAD PARDHY
Vice Chancellor
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249411



AQC Global LLC

SURVEILLANCEAUDITREPORT

serviceprovision									
8.5.2	IdentificationandTraceability	X		X		X		X	
8.5.3	Propertybelonging to customers or external providers	X		X		X		X	
8.5.4	Preservationofoutput	X		X		X		X	
8.5.5	Post-deliveryactivities	X		X		X		X	
8.5.6	Controlof changes	X		X		X		X	
8.6	Release ofproductsandservices			X		X		X	
8.7	Control of nonconforming outputs	X		X		X		X	
9.1.1	Monitoring, Measurement analysisand evaluation	X		X		X		X	
9.1.2	CustomerSatisfaction	X		X		X		X	
9.1.3	Analysisand Evaluation	X		X		X		X	
9.2	Internal Audit	X		X		X		X	
9.3	ManagementReview	X		X		X		X	
10.1	Improvement-General	X		X		X		X	
10.2	NonconformityandCorrective action	X		X		X		X	
10.3	Continualimprovement	X		X		X		X	
	Logo	X		X		X		X	
	Complaints	X		X		X		X	

Shadedclausetitlesmustbeaddressedateachvisit

X=Clauses to be addressed at the visit,O=OFI raised, M=NCMajor, m= NCMinor

SUMMARYOFSURVEILLANCEAUDIT FINDINGS:

S.N.	Observations	Clause	Type
1.	Review of risk and opportunities not evidence since 2022. Evidence: Risk register	6.1	m
2.	Identification and storage of chemicals stored in Chemistry lab not evidence. Evidence: Identification with Self life/MSDS/Storage conditions	8.5.2	M
3.	Internal audit and MRM not evidence with reference to the requirement of ISO 9001:2015 Standard. Evidence: Internal audit schedule/ Checklist	9.2	m

Area of Improvement			
1. Evacuation layout to be improve 2. Training related to the standard to the HOD's 3. Objectives and targets to be review in define interval 4. Awareness of Quality policy, Vision & mission 5. Awareness of risk and opportunities to the HOD's			
Non-Conformities	Type	No.	Description
	Major	0	
	Minor	3	
	OFI	5	



AQC Global LLC

SURVEILLANCE AUDIT REPORT

This report details the outcome of our surveillance audit of your Quality Management System to determine the degree of compliance with your own Quality Management System documentation and the requirements of the ISO 9001:2015 standard. The surveillance audit was conducted in accordance with AQC' standard operating procedures.

The reporting format follows the selected Quality Management System standard, clause by clause, and findings are reported as appropriate. Activities that are not in compliance with your own documentation or the ISO standard are reported on our Non-Conformance Reports (NCR'S) or Opportunity For Improvement (OFI) or Observation.

ANON-CONFORMANCE REPORT is a non-compliance of a serious nature, one that may have a significant impact on the quality of the services provided by your Organisation, and/ or relate to multiple non-complying activities. NCR's must be responded to, corrected and formally closed-out before surveillance and registration can proceed. Many Non Conformance Reports can be closed-out by our review of revised documentation and therefore, you should submit copies of such documentation with your response. If follow-up visits are required for close-out purposes, then we will contact you to arrange a mutually convenient time.

OPPORTUNITY FOR IMPROVEMENT forms address areas which are not considered to have a serious impact on the quality of the services provided by your Organisation and normally relate to isolated non-complying activities. They may also point out areas where initiative can be taken to improve sections of your Quality Management System. It is not mandatory to respond to OFI's. However, they are taken into consideration at the next surveillance visit, since an opportunity for improvement may be a preventative measure or part of the continuous improvements process.

Please respond to this report by completing the Non-Conformance Reports (NCR's) and, if necessary, Opportunity For Improvement forms (OFI'S) attached, within the time period agreed at the audit closing meeting.

Your signature is required against both "Organisation Representative" spaces on the form, and please fill in details of your intended corrective action and the date you anticipate completing the corrective action. If you have a problem meeting the required response times, then please contact us to re-evaluate proposed action and time-scale.

If you have any queries, please contact AQC Global LLC

2/1/11

Shardha



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Disclosure

"We confirm the following information and opinions were given to you in connection with your examination of the Management System. We acknowledge as top management our responsibility for the Management System, results and audit report, which you have prepared for the organization. All the records have been made available to you for the purpose of your audit and all the transactions undertaken by the organization have been properly reflected and recorded in the Management System. All other records and related information have been made available to you.

We also confirm there are no material contingents, major customer Dis-satisfaction issues or potential liabilities under claims or pending or threatening litigation. Disclosure has been made in the audit report for all matters necessary for the audit report to show a true and fair view of the organization's Management System state of affairs and results".

SIGNOFF:

Signed on behalf of AQC Global LLC

1. V. Yadav
2. V. Kamboj
3. P. Sharma

Digital report_Date: 28/12/23

P. Sharma
28/12/23

V. Yadav
28/12/23

V. Kamboj
28/12/23

Sharad



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Standard	ISO 45001:2018
Type of Audit	2 nd SURVEILLANCE AUDIT
Name of the Organisation	DEV SANSKRITI VISHWAVIDYALAYA
Address of the Organisation	GAYATRIKUNJ – SHANTIKUNJ, HARIDWAR – 249411, UTTARAKHAND, INDIA
Site Address, if any	NA
No. of Employees	NR
Contact Person	Mr. Jagdish Kulmi
Scope	PROVIDING EDUCATION TO UNDERGRADUATES, GRADUATES, POST-GRADUATES AND PROVIDING DOCTORATE DEGREES.
Exclusion	
NACE Code	
Any Other Information	No

Kanishk

Shardha



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Changes since Last Audit

Name of Organization	DEV SANSKRITI VISHWAVIDYALAYA
Changes in Scope	No
Changes in No. of Employees	No major changes
Changes in NACE Code	No
Changes in Shift	No
Changes in No. of Sites	No
Changes in Legal & Statutory Requirements	No
Status of the Previous audit finding	NC Closed with CAR plan.
Verification of auditor and recommendation to increase/decrease number of man-days	No

Audit Team	Lead Auditor	V. Kamboj
	Auditor	V. Yadav
	Technical Expert	P. Sharma
No of Mandays	03	
Date of Audit	20/12/23 – 23/12/23	
Audit Objective	Organization management system continues to fulfill the Requirements of the standard	

Kamboj

Sharma



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Surveillance Audit Schedule

Time	Function/Area/department	Applicable Clauses	Team Leader	Team Member 1	Team Member 2	Technical Expert
9.00-9.30	Opening Meeting					
9.30-10.00	Office Visit	7.1.3, 7.1.4	Y			
10.00-11.00	Understanding the Organization and its context, Need and Expectation of Interested Parties, Scope of OHSMS	4.4.5, 4.5.4, 4.5.5, 4.6.4.1, 4.2.4.3, 4.4	Y	Y	Y	
11.00-12.30	Risk & Opportunities, Documented Information, M&M	6.1, 7.5, 9.1	Y			
12.30-1.30	OH&S Policy, Objectives	5.2, 6.2	Y	Y	Y	
	1.30-2.00 Working Lunch					
2.00-3.00	Internal Audit & MRM, Leadership & Commitment, Roles and Responsibilities	9.2, 9.3, 5.1, 5.3	Y			
3.00-4.00	Resources, Compliance, Competence, Awareness, Communication	7.1, 7.2, 7.3, 7.4	Y	Y	Y	
4.00-5.00	Operation control	8.1, 8.2, 8.3, 8.4, 8.5, 8.6, 8.7	Y	Y	Y	
5.00-6.00	Nonconformity and corrective, Continual Improvement	10.1, 10.2, 10.3	Y	Y	Y	

Handwritten signature

Handwritten signature



AQC Global LLC

SURVEILLANCE AUDIT REPORT

3 YEARS AUDIT PLAN MATRIX (AQC Reference only)

ISO9001:2015		AUDIT											
		Initial Certification			1st Surveillance			2nd Surveillance			Re Certification		
		5days			2.5day			2.5day			2days		
		X	O	NC	X	O	NC	X	O	NC	X	O	NC
4.1	understanding the organization and its context	X			X			X			X		
4.2	Understanding the needs and expectations of interested parties	X			X			X			X		
4.3	Determining the scope of the OHS management system	X			X			X			X		
4.4	OHS management system and its processes	X			X			X			X		
5.1	Leadership & Commitment (Statement of intent)	X			X			X			X		
5.2	OHS policy	X			X			X			X		
5.3	Organizational roles, responsibilities and authorities	X			X			X			X		
5.4	Consultation and participation of workers	X			X			X			X		
6.0	Planning	X			X			X			X		
6.1	Action to address Risk and opp.	X			X			X			X		
6.1.2	Hazard identification and assessment of risks and opportunities	X			X			X			X		
6.1.2.2	Assessment of OH&S risks and other risks to the OH&S management system	X			X			X			X		
6.1.2.3	Assessment of OH&S opportunities and other opportunities for the OH&S management system	X			X			X			X		
6.1.3	Determination of legal requirements and other requirements	X			X			X			X		
6.1.4	Planning action	X			X			X			X		
6.2	OH&S objectives and planning to achieve them	X			X			X			X		
7.1	Support Resources	X			X			X			X		
7.2	Competence	X			X			X			X		
7.3	Awareness	X			X			X			X		
7.4	Communication	X			X			X			X		
7.5	Documented information	X			X			X			X		
8.1	Operational planning and	X			X			X			X		

AQC Global LLC
AQC-CA-Q-F20(Rev00)

Handwritten signature

Handwritten signature

Page No 4 of 6

SHARAD PARDHY
Vice Chancellor
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249111



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Control									
8.1.2	Eliminating hazards and reducing OH&S risks	X		X		X		X	
8.1.3	Management of change								
8.1.4	Procurement								
8.2	Emergency preparedness and response								

9.0	Monitoring, Measurement analysis and evaluation	X		X		X		X	
9.1.2	Evaluation of compliance	X		X		X		X	
9.1.3	Analysis and Evaluation	X		X		X		X	
9.2	Internal Audit	X		X		X		X	
9.3	Management Review	X		X		X		X	
10.1	Improvement-General	X		X		X		X	
10.2	Incident, nonconformity and corrective action	X		X		X		X	
10.3	Continual improvement	X		X		X		X	

Shaded clause titles must be addressed at each visit

X=Clauses to be addressed at the visit, O=OFI raised, M=NC Major, m=NC Minor

SUMMARY OF SURVEILLANCE AUDIT FINDINGS:

S.N.	Observations	Clause	Type
1.	OH&S risks and other risks in the area of Chemical Lab not evidence Evidence: Hazard identification and risk assessment related to the Chemical storage/ prevention	6.1.2.2	m
2.	Emergency preparedness planning as per schedule not evidence. Evidence: Emergency preparedness mockdrills/ plan vs actual/ documented information of completed drills	8.2	m
3.	Analysis of reported incident not evidenced, also category of incident not classify precisely. Evidence: Incident reporting method to be review, near miss incident and analysis of reported incident to avoid repetition.	10.2	m

Area of Improvement			
<ol style="list-style-type: none"> Mock drill criteria to be increase with real scenarios. OHS Objectives and targets to be review in define interval and it should be measurable. Communication matrix has to improve Awareness of Hazard identification and risk assessment analysis to the HOD's Consultation and participation of users to be improve by reviewing the committee structure 			
Non-Conformities	Type	No.	Description
	Major	0	
	Minor	3	
	OFI	5	

This report details the outcome of our surveillance audit of your Environment Management System to determine the degree of compliance with your own Environment Management System documentation and the requirements of the ISO 9001:2015 standard. The surveillance audit was conducted in accordance with AQC' standard operating procedures.

AQC Global LLC
AQC-CA-Q-F20(Rev00)

Handwritten signature

Handwritten signature

Page No 5 of 6

SHARAD PARJHY
Vice Chancellor
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249411



AQC Global LLC

SURVEILLANCE AUDIT REPORT

The reporting format follows the selected Environment Management System standard, clause by clause, and findings are reported as appropriate. Activities that are not in compliance with your own documentation or the ISO standard are reported on our Non-Conformance Reports (NCR'S) or Opportunity For Improvement (OFI) or Observation.

ANON-CONFORMANCE REPORT is a non-compliance of a serious nature, one that may have a significant impact on the Environment of the services provided by your Organisation, and/ or relate to multiple non-complying activities. NCR's must be responded to, corrected and formally closed-out before surveillance and registration can proceed. Many Non Conformance Reports can be closed-out by our review of revised documentation and therefore, you should submit copies of such documentation with your response. If follow-up visits are required for close-out purposes, then we will contact you to arrange a mutually convenient time.

OPPORTUNITY FOR IMPROVEMENT forms address areas which are not considered to have a serious impact on the Environment of the services provided by your Organisation and normally relate to isolated non-complying activities. They may also point out areas where initiative can be taken to improve sections of your Environment Management System. It is not mandatory to respond to OFI's. However, they are taken into consideration at the next surveillance visit, since an opportunity for improvement may be a preventative measure or part of the continuous improvements process.

Please respond to this report by completing the Non-Conformance Reports (NCR's) and, if necessary, Opportunity For Improvement forms (OFI'S) attached, within the time period agreed at the audit closing meeting.

Your signature is required against both "Organisation Representative" spaces on the form, and please fill in details of your intended corrective action and the date you anticipate completing the corrective action. If you have a problem meeting the required response times, then please contact us to re-evaluate proposed action and time-scale. If you have any queries, please contact **AQC Global LLC**

Disclosure

"We confirm the following information and opinions were given to you in connection with your examination of the Management System. We acknowledge as top management our responsibility for the Management System, results and audit report, which you have prepared for the organization. All the records have been made available to you for the purpose of your audit and all the transactions undertaken by the organization have been properly reflected and recorded in the Management System. All other records and related information have been made available to you.

We also confirm there are no material contingents, major customer Dis-satisfaction issues or potential liabilities under claims or pending or threatening litigation. Disclosure has been made in the audit report for all matters necessary for the audit report to show a true and fair view of the organization's Management System state of affairs and results".

SIGNOFF:

Signed on behalf of AQC Global

1. V. Kamboj
2. V. Yadav
3. P. Sharma

Digital report_Date: 28/12/23

V. Kamboj
28/12/23
V. Yadav

P. Sharma
28/12/23



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Standard	ISO 14001:2015
Type of Audit	2 nd SURVEILLANCE AUDIT
Name of the Organisation	DEV SANSKRITI VISHWAVIDYALAYA
Address of the Organisation	GAYATRIKUNJ – SHANTIKUNJ, HARIDWAR – 249411, UTTARAKHAND, INDIA
Site Address, if any	NA
No. of Employees	NR
Contact Person	Mr. Jagdish Kulmi
Scope	PROVIDING EDUCATION TO UNDERGRADUATES, GRADUATES, POST-GRADUATES AND PROVIDING DOCTORATE DEGREES.
Exclusion	
NACE Code	
Any Other Information	No

Uyadar

Sharad



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Changes since Last Audit

Name of Organization	DEV SANSKRITI VISHWAVIDYALAYA
Changes in Scope	No
Changes in No. of Employees	No major changes
Changes in NACE Code	No
Changes in Shift	No
Changes in No. of Sites	No
Changes in Legal & Statutory Requirements	No
Status of the Previous audit finding	NC Closed with CAR plan.
Verification of auditor and recommendation to increase/decrease number of man-days	No

Audit Team	Lead Auditor	V. Yadav
	Auditor	V. Kamboj
	Technical Expert	P. Sharma
No of Mandays	03	
Date of Audit	20/12/23 – 23/12/23	
Audit Objective	Organization management system continues to fulfill the Requirements of the standard	

V. Yadav

Sharad



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Surveillance Audit Schedule

Time	Function/Area/department	Applicable Clauses	Team Leader	Team Member 1	Team Member 2	Technical Expert
9.00-9.30	Opening Meeting					
9.30-10.00	Office Visit	7.1.3, 7.1.4	Y			
10.00-11.00	Understanding the Organization and its context, Need and Expectation of Interested Parties, Scope of EMS	4.4.5, 4.5.4, 4.5.5, 4.6, 4.1, 4.2, 4.3, 4.4	Y	Y	Y	
11.00-12.30	Risk & Opportunities, Documented Information, M&M	6.1, 7.5, 9.1	Y			
12.30-1.30	Environment Policy, Objectives	5.2, 6.2	Y	Y	Y	
	1.30-2.00 Working Lunch					
2.00-3.00	Internal Audit & MRM, Leadership & Commitment, Roles and Responsibilities	9.2, 9.3, 5.1, 5.3	Y			
3.00-4.00	Resources, Compliance, Competence, Awareness, Communication	7.1, 7.2, 7.3, 7.4	Y	Y	Y	
4.00-5.00	Operation control	8.1, 8.2, 8.3, 8.4, 8.5, 8.6, 8.7	Y	Y	Y	
5.00-6.00	Nonconformity and corrective, Continual Improvement	10.1, 10.2, 10.3	Y	Y	Y	

Signature

Signature



AQC Global LLC

SURVEILLANCE AUDIT REPORT

3YEARSAUDITPLAN MATRIX (AQC Reference only)

ISO9001:2015		AUDIT											
		Initial Certification			1st Surveillance			2nd Surveillance			Re Certification		
		5days			2.5day			2.5day			2days		
		X	O	NC	X	O	NC	X	O	NC	X	O	NC
4.1	understandingtheorganization and its context	X			X			X			X		
4.2	Understandingtheneedsand expectationsofinterested parties	X			X			X			X		
4.3	Determiningthescopeofthe Environment managementsystem	X			X			X			X		
4.4	Environment management systemand its processes	X			X			X			X		
5.1.1	Leadership & Commitment (Statementofensurity)	X			X			X			X		
5.1.2	Customerfocus(statementof conformity)	X			X			X			X		
5.2	Environment policy	X			X			X			X		
5.3	Organizational roles, responsibilities and authorities	X			X			X			X		
6.0	Planning	X			X			X			X		
6.1	Actionstoaddress Risk and opp./ Aspect and impacts	X			X			X			X		
6.2	Environment objectivesandplanning toachievethem	X			X			X			X		
6.3	Planning of changes and Purpose, resource availability and allocation	X			X			X			X		
7.1	Resources	X			X			X			X		
7.2	Competence	X			X			X			X		
7.3	Awareness												
7.4	Communication	X			X			X			X		
7.5	Documentedinformation	X			X			X			X		
8.1	Operational planning and Control	X			X			X			X		
8.2	Emergency preparedness and response	X			X			X			X		

Shyama

Shanku



AQC Global LLC

SURVEILLANCE AUDIT REPORT

9.0	Monitoring, Measurement analysis and evaluation	X			X			X			X		
9.1.2	Evaluation of compliance	X			X			X			X		
9.1.3	Analysis and Evaluation	X			X			X			X		
9.2	Internal Audit	X			X			X			X		
9.3	Management Review	X			X			X			X		
10.1	Improvement-General	X			X			X			X		
10.2	Nonconformity and Corrective action	X			X			X			X		
10.3	Continual improvement	X			X			X			X		

Shaded clause titles must be addressed at each visit

X= Clauses to be addressed at the visit, O= OFI raised, M= NCMajor, m= NCMInor

SUMMARY OF SURVEILLANCE AUDIT FINDINGS:

S.N.	Observations	Clause	Type
1.	Review of Aspect and impact analysis with reference to environment requirement while considering the self life since 2022. Evidence: Aspect and impact analysis	6.1/9.1.1	m
2.	Environment management programs not properly documented for further measuring and monitoring. Evidence: EMP's of Electricity saving/ soil contamination/ water consumption	8.1/8.2	m
3.	Environment objectives supplementary document not evidenced. Evidence: Environment objectives trend with action plan	6.2/9.1.1	m

Area of Improvement			
1. Training related to the standard to the HOD's 2. Objectives and targets to be review in define interval 3. Awareness of Environment policy, Vision & mission 4. Awareness of aspect and impacts analysis to the HOD's			
Non-Conformities	Type	No.	Description
	Major	0	
	Minor	3	
	OFI	4	

This report details the outcome of our surveillance audit of your Environment Management System to determine the degree of compliance with your own Environment Management System documentation and the requirements of the ISO 9001:2015 standard. The surveillance audit was conducted in accordance with AQC' standard operating procedures.

The reporting format follows the selected Environment Management System standard, clause by clause, and findings are reported as appropriate. Activities that are not in compliance with your own documentation or the ISO standard are reported on our Non-Conformance Reports (NCR'S) or Opportunity For Improvement (OFI) or Observation.

ANON-CONFORMANCEREPORT is a non-compliance of a serious nature, one that may have a significant impact on the Environment of the services provided by your Organisation, and/ or relate to multiple non-complying activities. NCR's must be responded to, corrected and formally closed-out before surveillance and registration

AQC Global LLC
AQC-CA-Q-F20(Rev00)

Signature

Signature

Page No 5 of 7

SHARAD KATOHY
Vice Chancellor,
Dev Sanskrit Vishwavidyalaya,
Gayatrikunj, Shantikunj,
Haridwar 249411



AQC Global LLC

SURVEILLANCE AUDIT REPORT

can proceed. Many Non Conformance Reports can be closed-out by our review of revised documentation and therefore, you should submit copies of such documentation with your response. If follow-up visits are required for close-out purposes, then we will contact you to arrange a mutually convenient time.

OPPORTUNITY FOR IMPROVEMENT forms address areas which are not considered to have a serious impact on the Environment of the services provided by your Organisation and normally relate to isolated non-complying activities. They may also point out areas where initiative can be taken to improve sections of your Environment Management System. It is not mandatory to respond to OFI's. However, they are taken into consideration at the next surveillance visit, since an opportunity for improvement may be preventative measure or part of the continuous improvements process.

Please respond to this report by completing the Non-Conformance Reports (NCR's) and, if necessary, Opportunity For Improvement forms (OFI'S) attached, within the time period agreed at the audit closing meeting.

Your signature is required against both "Organisation Representative" spaces on the form, and please fill in details of your intended corrective action and the date you anticipate completing the corrective action. If you have a problem meeting the required response times, then please contact us to re-evaluate proposed action and time-scale.

If you have any queries, please contact AQC Global LLC

Uyadh

श.प.

SHARAD PARDHY
Vice Chancellor,
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249411



AQC Global LLC

SURVEILLANCE AUDIT REPORT

Disclosure

"We confirm the following information and opinions were given to you in connection with your examination of the Management System. We acknowledge as top management our responsibility for the Management System, results and audit report, which you have prepared for the organization. All the records have been made available to you for the purpose of your audit and all the transactions undertaken by the organization have been properly reflected and recorded in the Management System. All other records and related information have been made available to you.

We also confirm there are no material contingents, major customer Dis-satisfaction issues or potential liabilities under claims or pending or threatening litigation. Disclosure has been made in the audit report for all matters necessary for the audit report to show a true and fair view of the organization's Management System state of affairs and results".

SIGNOFF:

Signed on behalf of AQC Global LLC

1. V. Yadav
2. V. Kamboj
3. P. Sharma

Digital report_Date: 28/12/23

V. Yadav

P. Sharma
28/12/23

Kamboj 28/12/23

Shardha

SHARAD PARDHY
Vice Chancellor
Dev Sanskriti Vishwavidyalaya
Gayatrikunj, Shantikunj,
Haridwar 249411